

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

CNA FINANCIAL CORPORATION

2. Address ☐ Check if different than previously reported

Address1 **333 SOUTH WABASH** Address2 _____
City **CHICAGO** State **IL** Zip Code **60604** - Country **USA**

3. Principal place of business (if different than line 2)

City _____ State _____ Zip Code _____ - Country _____

4a. Contact Name

b. Telephone Number c. E-mail

5. Senate ID#

HEATHER DAVIS

☐ International Number

(312) 822-1740 heather.davis@cna.com

9580-12

7. Client Name ☒ Self ☐ Check if client is a state or local government or instrumentality

6. House ID#

CNA FINANCIAL CORPORATION

317440000

TYPE OF REPORT 8. Year **2009** Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☒ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☐ \$ _____

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☒ \$ **\$ 106,806.00**

14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Filed Electronically Date 07/17/2009

Printed Name and Title Heather Davis, Senior Vice President, Government Relations

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

BAN

BANKING

(one per page)

16. Specific lobbying issues

All issues related to financial services reform, bankruptcy reform, regulations and policy decisions regarding bank sales of insurance-type products issued by the Office of the Comptroller of Currency

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE,

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Heather	Davis			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title

Heather Davis, Senior Vice President, Government Relations

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15. General issue area code

HCR

HEALTH ISSUES

(one per page)

16. Specific lobbying issues

Issues related to Asbestos, Long-Term Care Insurance

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

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First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Heather	Davis			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

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15. General issue area code

INSINSURANCE

(one per page)

16. Specific lobbying issues

Issues related to asbestos compensation, medical malpractice insurance, flood insurance, worker's compensation, risk retention groups, surplus lines and regulation of insurance and systemic risk.

17. House(s) of Congress and Federal agencies

☐ Check if None

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Heather	Davis			<input type="checkbox"/>
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15. General issue area code

TAX

TAXATION/INTERNAL REVENUE CODE

(one per page)

16. Specific lobbying issues

Issues related to proposed taxes affecting insurance, proposed legislation on tax exempt municipal bonds, the Pro Rata disallowance Rule and proposed taxes affecting U.S. corporations with international entities.

17. House(s) of Congress and Federal agencies

☐

Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

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First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Heather	Davis			<input type="checkbox"/>
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15. General issue area code

TORTORTS

(one per page)

16. Specific lobbying issues

Issues related to tort reform, medical malpractice

17. House(s) of Congress and Federal agencies ☐ Check if None

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15. General issue area code

FIN

FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

(one per page)

16. Specific lobbying issues

Issues related to mark to market accounting practices

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

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